

Application Form - Account Opening/Initial Subscription

Please complete this form in BLOCK CAPITALS and return by fax or post to: Impax Funds (Ireland) plc. C/o BNP Paribas Fund Administration Services (Ireland) Limited, 2 Grand Canal Plaza, Upper Grand Canal St, Dublin 2, Ireland. This Application Form should be read in conjunction with the relevant Key Investor Information Document and Fund Prospectus. <https://www.impaxam.com/strategies-funds>

Tel: +353 1 612 5326 | **Fax:** +353 1 512 5332 | **Email:** afsinvestorservices.ie@bnpparibas.com

Each prospective investor should read the Privacy Notice enclosed at Appendix 1 of this Application Form.

Applicant Details

(To be completed by all individual investors and the contact person of a legal entity or trust. For joint applications, please make a copy of this page.)

Title _____ First name(s) _____
Surname _____ Nationality _____
Occupation _____ Date of Birth _____
Registered/Permanent Address _____
_____ Postcode _____
Correspondence Address (if different) _____
_____ Postcode _____
Email _____ Fax _____
Tel _____ Mobile _____

Additional Details for Legal Entities/Trusts

Registered Name _____
Registration Number _____ Country of Incorporation _____
Entity Type _____ Tax Number _____
Registered/Permanent Address _____
_____ Postcode _____
Correspondence Address (if different) _____
_____ Postcode _____
Tel _____ Fax _____

Beneficiary Bank Details

Bank/Building Society _____ Swift/Sort Code _____
Account Number _____ IBAN _____
Bank Address _____
Correspondent Bank _____ Swift/Sort Code _____
Account Number _____ IBAN _____
Bank Address _____

Anti-Money Laundering Requirements

Please refer to the Fund prospectus (which can be found under the 'Literature' section of the respective sub-fund on <https://www.impaxam.com/strategies-funds/thematic-equities>) for further details on anti-money laundering requirements, including examples of documentation that may be required. These requirements will not be the same for every investor and are generally subject to change with legislation/industry guidance.

For assistance, please contact the BNP Paribas AML Investor Services team

Initial Subscription

By completing page 2 you are agreeing to subscribe the stated amount into the selected share class at the next available trade date. Should you **not** wish to make an initial subscription alongside opening an account, **PLEASE DO NOT COMPLETE THIS SECTION**. Subscriptions should be lodged in base currency by electronic transfer. Subscription monies must be received within three Business Days immediately following the relevant Subscription Date. Further details on initial subscriptions can be found in the Supplement of the relevant Fund Prospectus.

Fund/Share class	Accumulation/Distribution	Share Class Currency	ISIN	Select (please tick)
Impax Environmental Markets (Ireland) 'A'	Accumulation	GBP	IE00B04R3307	
	Accumulation	EUR	IE00B04R3521	
	Accumulation	USD	IE00B04R3745	
Impax Environmental Markets (Ireland) 'B'	Accumulation	GBP	IE00B04R3414	
	Accumulation	EUR	IE00B04R3638	
	Accumulation	USD	IE00B04R3851	
Impax Environmental Markets (Ireland) 'X'	Accumulation	GBP	IE00BYQNSD98	
	Distribution	GBP	IE00BZ1LW551	
Impax Asian Environmental Markets (Ireland) 'A'	Accumulation	GBP	IE00B3MGK730	
	Accumulation	EUR	IE00B3MFHV32	
	Accumulation	USD	IE00B3PSB281	
Impax Asian Environmental Markets (Ireland) 'B'	Accumulation	GBP	IE00B3PM1976	
	Accumulation	EUR	IE00B3MKB160	
	Accumulation	USD	IE00B3N10L11	
Impax Asian Environmental Markets (Ireland) 'X'	Accumulation	GBP	IE00BYQ91839	
Impax Environmental Leaders (Ireland) 'A'	Accumulation	GBP	IE00BYQNSF13	
	Accumulation	EUR	IE00BYQNSK65	
	Accumulation	USD	IE00BYQNSH37	
	Accumulation	DKK	IE00BD2NFJ14	
Impax Environmental Leaders (Ireland) 'A'	Distribution	GBP	IE00BYQNSG20	
	Distribution	EUR	IE00BYQNSL72	
	Distribution	USD	IE00BYQNSJ50	
Impax Global Equity Opportunities 'A'	Accumulation	GBP	IE00BSXNJK40	

Subscription Amount (cash):

Using Numeric Characters:

 ,

Using Alphabetical Characters _____

Dividend Option

For distribution share-classes and where the sub-fund has declared a dividend, all dividends will be reinvested by way of additional shares, unless advised otherwise.

Please tick here should you wish to receive a cash dividend

Subscription Bank Details

For	Beneficiary Bank	Swift	A/c IBAN	FFC	Correspondent Bank	Swift
GBP	BNP Paribas, Luxembourg	PARBLULL	LU 25 3280322438B00826	BPSS Dublin / Impax Funds (Ireland) PLC	BNP Paribas, London	BNPAGB22
USD	BNP Paribas, Luxembourg	PARBLULL	LU 35 3280322438B00840	BPSS Dublin / Impax Funds (Ireland) PLC	BNP Paribas, New York	BNPAUS3N
EUR	BNP Paribas, Luxembourg	PARBLULL	LU 92 3280322438B00978	BPSS Dublin / Impax Funds (Ireland) PLC	BNP Paribas, Paris	PARBFRPP
DKK	BNP Paribas, Luxembourg	PARBLULL	LU 27 3280322438B00208	BPSS Dublin / Impax Funds (Ireland) PLC	Nordea, Copenhagen	NDEADKKK / 5000017479

Requests for subsequent subscriptions may be made by post, delivery, fax or other electronic means as provided by the Administrator. Shares will be purchased at the Net Asset Value as calculated on the relevant Subscription Date. Subscription monies must be received within three Business Days immediately following the relevant Subscription Date.

Declarations/Representation and Warranties

The Applicant hereby warrants that they have received, read and carefully considered the Prospectus and the KIID. The Applicant undertakes to observe and be bound by the provisions of the Articles of Association (as amended from time to time) and apply to be entered in the Company's register of Shareholders as the holder/holders of the Shares issued in relation to this application.

The Applicant hereby represents and warrants that they are not a U.S. Person as defined in the Prospectus and that they are not acting on behalf of or for the benefit of nor do they intend to transfer any Shares which they purchase to any U.S. Person. The Application Form was executed and forwarded from the address set out as Registered/Permanent Address in Section 1 of this Application Form, which is outside of the U.S. and the Applicant, was not in the U.S. either at the time that the Shares were offered to him or at the time such offer was accepted.

The Applicant acknowledges that, due to anti-money laundering requirements operating within their respective jurisdictions, the Company, its Directors, the Administrator and any distributor which may be appointed (as the case may be) may require further identification of the Applicant(s) before the application can be processed and the Company, its Directors, the Administrator and any distributor which may be appointed shall be held harmless and indemnified by the Applicant against any loss arising as a result of a failure to process the application if such information has been required by the parties referred to and has either not been provided or not been correctly provided by the Applicant.

The Applicant hereby agrees to indemnify and keep indemnified the Company, its Directors, the Administrator and any distributor which may be appointed against any loss arising to it as a result of any breach of any representation, warranty, covenant or confirmation by him in the Application Form or from his failure to disclose any relevant details or provide all information requested by the Company, the Administrator and any distributor which may be appointed.

In the case of delay or failure to provide satisfactory information, the Company and the Administrator may take such action (including declining to accept an application) as they see fit. The Applicant understands that if the application is rejected, the Administrator shall return the full amount tendered herewith (minus any handling charge incurred in any such return) on the account mentioned above.

The Applicant is fully empowered and has the authority to make this investment whether the investment is their own or is made on behalf of another person or institution.

The Applicant confirms that they have the right and authority to request redemption or repurchase of Shares and confirms that he will comply with the redemption and repurchase procedures set out in the Prospectus. All redemption or repurchase instructions must be given by means of a signed redemption request and must be accompanied by an appropriate account number.

The Applicant confirms that they are 18 years of age or over and that they have the capacity and are duly authorised to complete this form and to make the representations and give the indemnities referred to herein.

The Applicant agrees to provide these confirmations to the Company, its Directors and the Administrator at such times as any of them may request, and to provide on request such certifications, documents or other evidence as the Company, its Directors and the Administrator may reasonably require to substantiate such representations.

The Applicant hereby accepts such lesser number of Shares (charges and costs may be deducted), if any, than may be specified above in respect of which this application/transfer may be accepted.

The Applicant requests that the Shares issued pursuant to this application are registered in the name and address set out in Section 1.

In respect of Joint Applicants only - The Applicants direct that on the death of one of them the Shares for which they hereby apply be held in the name of and to the order of the survivor or survivors of them or the executor or administrator of such survivor or survivors and they understand that certain documentation may be required to permit this.

The Applicant agrees to notify the Company or the Administrator of any change to their tax residency status.

The Applicant agrees to notify the Company or the Administrator, in writing, if they become a resident or ordinarily resident in Ireland. An individual is ordinarily resident in Ireland if the individual has been resident in Ireland for each of the three preceding years of assessment (i.e. calendar years) and that individual continues to be ordinarily resident in Ireland until the individual has not been resident in Ireland in each of the three preceding years of assessment.

In respect of Applicants applying on behalf of (an)other person(s) only – The Applicant hereby agrees to notify the Company or the Administrator, in writing, if they are, or becomes aware, that any person who is beneficially entitled to any of the Shares may be resident or ordinarily resident in Ireland or may have become resident in Ireland. An individual is ordinarily resident in Ireland if the individual has been resident in Ireland for each of the three preceding years of assessment (i.e. calendar years) and that individual continues to be ordinarily resident in Ireland until the individual has not been resident in Ireland in each of the three preceding years of assessment.

The Applicant hereby acknowledges that any notice or document may be served by the Company, the Investment Manager or the Administrator on them in the manner specified from time to time in the Prospectus and, for the purposes of the Electronic Commerce Act 2000, if they have provided an e-mail address or fax number to the Company, the Investment Manager or the Administrator, consents to any such notice or document being sent to them by fax or electronically to the fax number or e-mail address previously identified by the Applicant to the Company, the Investment Manager or the Administrator which they acknowledge constitutes effective receipt by them of the relevant notice or document. The Applicant acknowledges that they are not obliged to accept electronic communication and may at any time request a hard copy of any such documentation and/or choose to revoke their agreement to receive communications by fax or electronically by notifying the Company, the Investment Manager or the Administrator in writing at the above address, provided that their agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Company, the Investment Manager or the Administrator of written notice of such revocation.

The Applicant hereby represents that they have regular access to the internet. The Applicant acknowledges that they have been offered the choice of receiving the Prospectus and the KIID on paper and in electronic form by means of a website or by email and hereby specifically consents to receiving the KIID in electronic form by way of accessing the latest version of the document online at <https://www.impaxam.com/strategies-funds> (or such other website address as may be notified to the Applicant from time to time). The Applicant hereby confirms that they have also been notified electronically of this website address and the place on the website where the KIID can be accessed.

The Applicant hereby acknowledges that they have received or accessed by electronic means the KIID. The Applicant also consents to their accessing the KIID by electronic means before making any subsequent and/or future subscriptions for Shares.

Where the Applicant is acquiring the Shares for or on behalf of any person in his capacity as a nominee or agent, the Applicant certifies that, prior to accepting any order for the acquisition of Shares, the Applicant will ensure that the investor will be provided with the current KIID for the relevant Share Class. Where permitted by applicable law, "provided" shall include informing the investor of the applicable website where the KIID may be obtained, which is <https://www.impaxam.com/strategies-funds> (or such other website address as may be notified to the Applicant from time to time).

If an email address is not provided by the Applicant, all communications will be delivered via post to the Registered/Permanent Address of the Applicant set

out in Section 1 of this Application Form.

The Applicant agrees that the representations herein are continuous representations, that all further Share purchases, and the Applicant's continued investment, will be governed by the representations detailed herein. The Applicant agrees to notify the Company or the Administrator immediately in writing upon any change in the representations included herein.

The Articles, the Prospectus and the KIID have been received and carefully read and understood by the Applicant. The Applicant represents that he is fully capable of assessing and bearing the risks involved with the investment in the Company as set out in the Prospectus and has consulted any necessary tax, legal and other advisors. The Applicant is aware of the risk involved in the proposed investment in the Company and of the fact that inherent in such investment is the potential to lose all of the sum invested.

If the Applicant is a bank, broker, or dealer or any other entity or person that is not purchasing the Shares as a principal, or is a bank, broker, or dealer acting as an agent or nominee; the Applicant hereby represents and warrants that they are acquiring the Shares on behalf of, or for resale to, clients who will hold the Shares for investment purposes. In addition, any bank, broker, or dealer acting in a non-principal capacity agrees to provide information necessary for the Company and the Administrator to satisfy money laundering and other governmental requirements.

The Applicant represents and warrants that the Shares are not being acquired and will not be held in violation of any applicable laws.

FAX INDEMNITY

Only once an Applicant has completed and submitted an Application Form may they use the facsimile dealing facility to place subsequent purchase and/or redemption orders.

The Applicant understands that if they choose to give deal instructions by fax they do so at their own risk. The Applicant understands that neither the Company nor any of its agents (including the Investment Manager and the Administrator) shall be under any obligation to verify the authenticity of any deal instructions sent by fax. The Applicant hereby indemnifies the Company and its agents (including the Investment Manager and the Administrator), against all losses, costs, demands, expenses, actions, proceedings and claims incurred by any such persons or entities as a result of acting on such fax instructions which they reasonably believed to be a valid instruction.

The Applicant understands that any requests to change their registered details must be submitted in writing which is duly authorised and in original form.

IF YOU DO NOT CONSENT TO FACSIMILE DEALING PLEASE TICK THIS BOX

Should you not consent to facsimile dealing, alternative dealing options include post, delivery or other electronic means as provided by the Administrator.

Declaration of Residence outside the Republic of Ireland

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares. Terms used in this declaration are defined in the Prospectus.

Declaration on own behalf (*Delete as appropriate)

I/we* declare that I am/we are* applying for the Shares on my/our own behalf/on behalf of a company* and that I am/we are/the company* is entitled to the Shares in respect of which this declaration is made and that

- I am/we are/the company is* not currently resident or ordinarily resident in Ireland, and
- Should I/we/the company * become resident in Ireland I/we* will so inform you, in writing, accordingly.

OR

Declaration as Intermediary (*Delete as appropriate)

I/we* declare that I am/we are* applying for Shares on behalf of persons who will be beneficially entitled to the Shares; and

- Who, to the best of my/our* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/we* also declare that:

- unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us* from the date of this application will be made on behalf of such persons; and,
- I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* hold Shares, becomes resident in Ireland.

If the applicant is an Exempted Investor as defined in the Prospectus, it must complete a separate declaration and should contact the Administrator who will provide it/him/her/them with the appropriate declaration which must be made to confirm its status.

To be valid, this Application Form (incorporating the Non-Irish Resident Declaration) **must be signed by the Applicant(s) below**. Where there is more than one Applicant, each person must sign. If the Applicant is a company, two authorised signatories must sign, and their names and sample signatures must appear on the company's list of authorised signatures. If the Application Form is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.

I/We declare (as the registered account holder or as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete. I /We undertake to advise the recipient promptly and provide an updated form where any change in circumstance occurs, which causes any of the information contained in this form to be incorrect.

Authorised Signature(s)* _____

Print Name(s)*: _____

Capacity in which declaration is made *: _____

Date* _____

Self-Certification for FATCA and CRS

Please complete Part A (individual) or B (entity) only

Part A: Individual Self-Certification

Instructions for completion

Tax Laws¹ require us to collect certain information about each individual's tax residency and tax classification. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged where required by law or regulation to share information on your account with the relevant tax authorities.

¹The term 'Tax Laws' refers to regulations created to enable automated exchange of information, and includes, without limitation, FATCA (The Foreign Account Tax Compliance provisions, contained in the US Hire Act 2010) and also OECD Common Reporting Standard ("CRS"), an initiative to implement automatic exchange of financial account information on a global basis.)

If you have any questions about your tax classification, please contact your tax advisor.

For further information on FATCA please refer to the Irish Revenue website at <http://www.revenue.ie> or at <http://www.revenue.ie/en/business/international/agreement-ireland-usa-compliance-fatca.pdf>.

For further information on CRS please refer to the OECD automatic exchange of information portal <http://www.oecd.org/tax/automatic-exchange/>

If any of the information below about the Investor's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly / within 30 days.

Joint or multiple Controlling Persons should use a separate form for each Controlling Person. (Mandatory fields are marked with an *)

Definitions

Fund name (the "Fund") _____

Section 1: Individual Identification

Individual Identification Name* _____

Entity in which the individual is a controlling person (if applicable) _____

Residential Address*

Number _____ Street _____ City, Town, State, Province or County _____

Postal/ZIP Code: _____ Country _____

Mailing address (if different from above)

Number _____ Street _____ City, Town, State, Province or County _____

Postal/ZIP Code: _____ Country _____

Town or City of Birth* _____ Country of Birth* _____ Date of Birth (dd/mm/yyyy)* _____

Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes* Please tick either (a) or (b) below and complete as appropriate.

(a) I am a Specified U.S. Person and my U.S. federal taxpayer Identifying number (U.S. TIN) is as follows

(b) I am not a Specified U.S. Person

Section 3: CRS Declaration of Tax Residency (please note you may chose more than one country)*

Please indicate your country of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers).

Country of Tax Residency	Tax ID Number

Section 4: Type of Controlling Person (ONLY to be completed by any individual who is a Controlling Person of an entity which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)
For Joint or multiple Controlling person's please use a separate form for each Controlling person.

Please provide the Controlling Person's Status by ticking the appropriate box.	Entity Name
a. Controlling Person of a legal person – control by ownership	
b. Controlling Person of a legal person – control by other means	
c. Controlling Person of a legal person – senior managing official	
d. Controlling Person of a trust - settlor	
e. Controlling Person of a trust – trustee	
f. Controlling Person of a trust – protector	
g. Controlling Person of a trust – beneficiary	
h. Controlling Person of a trust – other	
i. Controlling Person of a legal arrangement (non-trust) – settlor-equivalent	
j. Controlling Person of a legal arrangement (non-trust) – trustee-equivalent	
k. Controlling Person of a legal arrangement (non-trust) – protector-equivalent	
l. Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent	
m. Controlling Person of a legal arrangement (non-trust) – other-equivalent	

Section 5: Data Protection Acknowledgement

I have been informed that laws and regulations implementing the FATCA Intergovernmental agreement, the Common Reporting Standard which is a part of the Standard on automatic exchange of financial information in tax matters approved by the OECD Council on 15 July 2014 and, where applicable, the Council Directive 2011/16/EU on administrative cooperation in the field of taxation (the “Regulations”)

- require, among other things, the Reporting Financial Institution (the “RFI”) to apply due diligence procedures aiming at identifying Reportable accounts and holdings and to report certain information to the relevant competent authorities; and
- allow the RFI to use service providers to fulfil some or all of their due diligence and reporting obligations (the obligations remain however the responsibility of the RFI).

I acknowledge that:

- the data controller is the Fund;
- Controlling Persons have a right of access and rectification that may be exercised by writing to/contacting
- the Fund and
- personal information related to Controlling Persons may be transferred in connection with the above purposes to a destination outside the European Economic Area.

I hereby acknowledge, in accordance with the relevant professional secrecy and/or data protection legislation for the due diligence and/or reporting purposes related to the automatic exchange of information in the field of taxation, to the provision, sharing and other processing of data and, information concerning me and my investment, which is required to be collected and reported by the Fund in accordance with Regulations, by BNP Paribas Fund Administration Services (Ireland) Limited with any entity within the BNP Paribas Group and/or any third party services provider acting under the control of BNP Paribas Group, or with the relevant tax authorities, in order that the reporting required by the Regulations be made by the Fund or on behalf of the Fund to the relevant authorities.

Section 6: Declarations Undertakings and Acknowledgement*

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

I acknowledge the sharing and reporting of my personal data for the purposes and with the entities described above

Authorised Signature* _____

Print Name*: _____

Capacity in which declaration is made*: _____

Date* _____

Part B: Entity Self-Certification

Instructions for completion

Tax Laws¹ require us to collect certain information about each organisation's tax residency and tax classification. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged where required by law or regulation to share information on your account with the relevant tax authorities.

¹The term 'Tax Laws' refers to regulations created to enable automated exchange of information, and includes, without limitation, FATCA (The Foreign Account Tax Compliance provisions, contained in the US Hire Act 2010) and also OECD Common Reporting Standard ("CRS"), an initiative to implement automatic exchange of financial account information on a global basis.)

If you have any questions about your organisation's tax classification, please contact your tax advisor.

For further information on FATCA please refer to the Irish Revenue website at <http://www.revenue.ie> or at <http://www.revenue.ie/en/business/international/agreement-ireland-usa-compliance-fatca.pdf>.

For further information on CRS please refer to the OECD automatic exchange of information portal <http://www.oecd.org/tax/automatic-exchange/>

If any of the information below regarding the Entities' tax residency or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly/within 30 days

Entities that are individuals should not complete this form and should complete the form entitled "Part A: Individual Self-Certification". (Mandatory fields are marked with an *)

Section 1: Investor Identification

Country of Incorporation or Organisation: _____

Registered Address*

Number _____ Street _____ City, Town, State, Province or County _____

Postal/ZIP Code: _____ Country _____

Mailing address (if different from above)

Number _____ Street _____ City, Town, State, Province or County _____

Section 2: FATCA Declaration Specified U.S. Person* Please tick either (a) or (b) below as appropriate.

(a) The Entity is a Specified U.S. Person and the Entity's U.S. federal taxpayer Identifying number (U.S. TIN) is as follows

_____ Please also complete Sections 4 and 5

(b) The Entity is **not** a Specified U.S. Person

Please also complete Sections 3,4 and 5

Section 3: Entity's FATCA Classification* (the information provided in this section is for FATCA, please note your classification may differ from your CRS classification in Section 5)

3.1 Financial Institutions under FATCA

If the Entity is a Financial Institution, please tick one of the below categories and provide the Entity's GIIN at 3.2

I.	Irish Financial Institution or a Partner Jurisdiction Financial Institution	
II.	Registered Deemed Compliant Foreign Financial Institution	
III.	Participating Foreign Financial Institution	

3.2 Please provide the Entity's Global Intermediary Identification number (GIIN) _____

3.3 If the Entity is a Financial Institution but unable to provide a GIIN, please tick one of the below reasons:

I.	Partner Jurisdiction Financial Institution and has not yet obtained a GIIN	
II.	The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN - Please provide the sponsor's name and sponsor's GIIN: Sponsor's Name _____ Sponsor's GIIN _____	
III.	Exempt Beneficial Owner	
IV.	Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the Agreement)	

V.	<i>Non-Participating Foreign Financial Institution</i>	
VI.	<i>Excepted Foreign Financial Institution</i>	
VII.	<i>U.S. person but not a Specified U.S. person</i>	

3.4 Non-Financial Institutions under FATCA

If the Entity is not a Financial Institution, please tick one of the below categories

a.	Active Non-Financial Foreign Entity	
b.	Passive Non-Financial Foreign Entity (If this box is ticked, please include individual self-certification forms for each of your Controlling Persons)	
c.	Excepted Non-Financial Foreign Entity	
d.	The Entity is a U.S. person but not a Specified U.S. person	

Section 4: CRS Declaration of Tax Residency*

Please indicate the Entity's place of tax residence for CRS purposes (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers).

Country of Tax Residency	Tax ID Number

Section 5: Entity's CRS Classification* (the information provided in this section is for CRS, please note your CRS classification may differ from your FATCA classification in Section 3)

a.	<i>Financial Institution under CRS</i>	
b.	<i>An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please include individual self-certification forms for each of your Controlling Persons)</i>	
c.	<i>Active Non-Financial Entity – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation</i>	
d.	<i>Active Non-Financial Entity – a Government Entity or Central Bank</i>	
e.	<i>Active Non-Financial Entity – an International Organisation</i>	
f.	<i>Active Non-Financial Entity – other than (c)-(e) (for example a start-up NFE or a non-profit NFE)</i>	
g.	<i>Passive Non-Financial Entity (If this box is ticked, please include individual self-certification forms for each of your Controlling Persons)</i>	

NB: Please note that each Controlling Persons must complete an individual self-certification form

Section 6: Data Protection Acknowledgement

We the Entity, have been informed that laws and regulations implementing the FATCA Intergovernmental agreement, the Common Reporting Standard which is a part of the Standard on automatic exchange of financial information in tax matters approved by the OECD Council on 15 July 2014 and, where applicable, the Council Directive 2011/16/EU on administrative cooperation in the field of taxation (the "Regulations")

- require, among other things, the Reporting Financial Institution (the "RFI") to apply due diligence procedures aiming at identifying Reportable accounts and holdings and to report certain information to the relevant competent authorities; and
- allow the RFI to use service providers to fulfil some or all of their due diligence and reporting obligations (the obligations remain however the responsibility of the RFI).

We acknowledge that:

- the data controller is the Fund;
- Controlling Persons have a right of access and rectification that may be exercised by writing to/contacting
- the Fund and personal information related to Controlling Persons may be transferred in connection with the above purposes to a destination outside the European Economic Area

Where consent is required under applicable professional secrecy legislation, we certify that the Entity has obtained appropriate consent of each Controlling person, where necessary, or attaches here the necessary consent from such Controlling Persons, for information to be used by and shared with any entity within the BNP Paribas Group and any third party services provider acting under the control of BNP Paribas Group for the purposes set out above.

We hereby acknowledge, in accordance with the relevant professional secrecy and/or data protection legislation for the due diligence and/or reporting purposes related to the automatic exchange of information in the field of taxation, to the provision, sharing and other processing of data and, information on the Entity, its Controlling persons and Entity's investment, which is required to be collected and reported by the Fund in accordance with Regulations, by BNP Paribas Fund Administration Services (Ireland) Limited with any entity within the BNP Paribas Group and/or any third party services provider acting under the control of BNP Paribas Group, or with the relevant tax authorities, in order that the Fund may satisfy its obligations to make the reporting required by the Regulations to be made to the relevant authorities.

Section 7: Declarations and Undertakings*

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature(s)* _____

Print Name(s)*: _____

Capacity in which declaration is made*: _____

Date* _____

Appendix 1 – Privacy Notice

Introduction

This notice sets out details of how and why Impax Funds (Ireland) plc of Riverside One, Sir John Rogerson's Quay, Dublin 2, Ireland (the "Company", "we", "us", "our") and third parties acting on our behalf collect and process personal information in connection with investments in Impax Environmental Markets (Ireland) Fund, Impax Asian Environmental Markets (Ireland) Fund, Impax Food and Agriculture Fund, Impax Global Equity Opportunities Fund and Impax Environmental Leaders (Ireland) Fund (each a "Fund" and together the "Funds"). We do this in compliance with our obligations under applicable data protection law. This notice explains what personal data is collected, the purposes for which it is used, the third parties to whom it may be disclosed and how individuals can exercise their rights in relation to their personal data.

This notice applies to the collection and processing of personal information relating to any individual investor in the Funds and personal information relating to individuals associated with corporate investors in the fund, such as a corporate investor's directors, shareholders, employees, professional advisers and other personnel. References to "you" and "your" mean the relevant individuals who are the subjects of the personal data to which this notice relates and "your company" means a corporate investor with which an individual is associated. Corporate investors should ensure that this notice is provided to any individual whose personal data the corporate investor has provided to us, such as its directors, shareholders, employees, and other personnel, within one month of completion of the application form.

We also refer you to the CRS Customer Information Notice in our application form which contains certain further information relating to our use of your personal information.

About Us

The Company is the controller of the personal data collected via the application form. The Company engages third party service providers to process such personal data on behalf of the Company and those third parties act as processors. If you have any questions about the use of your personal data by or on behalf of the Company, please contact us at the above address.

Personal Data that we Process

We collect personal data relating to you that is provided to us in the application and in connection with our dealings with you or your company in relation to investments in the Funds, including your name, signature, postal address, email address, fax number, date of birth, and other contact details.

We may also collect personal data relating to you in connection with ensuring compliance with our legal obligations including your PPS number; passport number; photographic identification and verification such as copies of your passport; and address verification. For the purposes of carrying out due diligence, we may also collect information relating to your status as an ultimate beneficial owner of an entity, or as a politically exposed person.

We may collect and process personal data relating to you in connection with our on-going relationship with you or your company, such as via correspondence and calls, and in connection with our administration of you or your company's investment in the Funds. We may record telephone calls for the purposes of record keeping, security and training.

In addition, we may collect personal data relating to you from third party sources in connection with complying with legislation relating to anti-money laundering, taxation, and other legislation applicable to investment funds.

We require you to complete the mandatory fields identified in our application form for the purpose of entering into a contract with you or your company. Please note that some of the information required by our application forms is necessary in order for us to administer your or your company's investment in the Funds and if you or your company do not provide us with these required details, we may decline your or your company's application to become an investor. Please be advised that you may be contractually obliged to ensure that certain information that we hold relating to you is up to date pursuant to the application form.

Purposes of Processing and Legal Basis

Personal data that you or your company provides in your application form, or that we otherwise obtain in relation to you, will be processed for the following purposes:

- processing your or your company's application to become an investor;
- establishing your identity, and providing, servicing and administering your or your company's investment;
- complying with our legislative and regulatory obligations in connection with our dealings with you or your company, including under applicable law regarding anti-money laundering, taxation, the regulation of collective investment schemes, or the provision of financial services;
- if applicable, processing the fact that you are a politically exposed person, to comply with applicable legal obligations;
- to communicate with you by way of notice pursuant to applicable legislation or the Company's Constitution;
- maintaining appropriate business records, including maintaining appropriate registers of shareholders;
- statistical analysis and market research;
- internal training and management of personnel;
- to respond to or evaluate any queries or complaints in relation to your or your company's investment;
- internal and external audits and, where necessary, investigations; and
- establishing, exercising or defending legal claims.

The legal grounds that we rely on to process your personal data are:

- that this is necessary to comply with our legal obligations;

- that this is necessary for the purposes of our legitimate interests or the legitimate interests of a third party to whom we provide your personal data. We will not process your personal data for these purposes if our or the third party's legitimate interests should be overridden by your own interests or fundamental rights and freedoms. The legitimate interests pursued by us in this regard include:
 - conducting our business in a responsible and commercially prudent manner and dealing with any disputes that may arise;
 - preventing, investigating or detecting theft, fraud or other criminal activity;
 - pursuing our corporate and social responsibility objectives;
- where you are an individual investor, that this is necessary to take steps at your request prior to entering into our contract with you and for the performance of our contract with you;

Recipients of Data

We may disclose your personal data to various recipients (including agents or delegates of such) in connection with the above purposes, including:

- to the Administrator;
- to Impax Asset Management Limited, the Investment Manager and Distributor;
- to the Revenue Commissioners and other tax authorities as required by applicable law, including FATCA or CRS;
- to the Central Bank of Ireland, auditors, or other competent regulatory authorities and bodies as requested or required by law;
- to other third parties who we engage to provide services to us, such as professional advisers, auditors and IT service providers;
- to credit reference agencies in order to carry out money laundering and identity checks and to comply with legal obligations;

Transfers Abroad

In connection with the above purposes we may transfer your personal data outside the European Economic Area, including to a jurisdiction which is not recognised by the European Commission as providing for an equivalent level of protection for personal data as is provided for in the European Union. If and to the extent that we do so, we will ensure that appropriate measures are in place to comply with our obligations under applicable law governing such transfers, which may include: (a) entering into a contract governing the transfer which contains the "standard contractual clauses" approved for this purpose by the European Commission; (b) in respect of transfers to the United States of America, ensuring that the transfer is covered by the EU-US Privacy Shield framework; or (c) transferring your personal data pursuant to binding corporate rules.

Further details of the measures that we have taken in this regard and the territories to which your personal data may be transferred are available by contacting us at our address set out at the beginning of this form.

Retention

We will retain your personal data for the duration of your or your company's investment in the Funds and for such a period of time after the investment ends as is necessary to comply with our obligations under applicable law and, if relevant, to deal with any claim or dispute that might arise.

Your Rights

You have the following rights, in certain circumstances and subject to applicable exemptions, in relation to your personal data:

- the right to access the personal data that we hold about you, together with other information about our processing of that personal data;
- the right to require us to rectify any inaccuracies in your personal data;
- the right to require us to erase your personal data;
- the right to request that we no longer process your personal data for particular purposes;
- the right to object to our use of your personal data or the way in which we process it; and
- where the legal basis for processing is that it is necessary for the performance of a contract with you, the right to receive your personal data, which you provided to us, in a structured, commonly used and machine-readable format or to require us to transmit that data to another controller.

In order to exercise any of the rights set out above, please contact us at the address set out at the beginning of this form.

Complaints

If you are not happy with the way we have used your information or addressed your rights, you have the right to lodge a complaint with the Irish Data Protection Commission (info@dataprotection.ie) or your local supervisory authority.